



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:	Kronzer, et al.	)	Group Art Unit:	1774
Serial No:	10/003,698	)	Examiner:	Tamra Dicus
Filed:	October 31, 2001	)	Our Account No:	04-1403
Confirmation No:	2529	)	Customer No:	22827
Title:	Heat Transfer Paper With Peelable Film And Discontinuous Coatings	)		

Commissioner for Patents  
U.S. Patent and Trademark Office  
Post Office Box 1450  
Alexandria, VA 22313-1450

Sir:

The following is an Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

1. ☒ Attached hereto is:

- a. ☒ A list of materials for consideration per Rule 98(a)(1): 4 page(s)
- b. ☒ A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98 and/or as indicated on the attached list(s):  
105 item(s)
- c. ☐ For each non-English language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items: \_\_\_\_\_

☐ Such explanation is provided in the Search Report from a corresponding application enclosed herewith along with any enclosed translation into English.

2. ☒ This Information Disclosure Statement is being filed [CHECK ONE]:

- a. ☐ WITHIN THREE MONTHS of the application filing date, national stage date of entry, or along with or after a request for continued examination, OR BEFORE the mailing date of a first Office Action on the merits, which ever event occurs last, WHEREFORE per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.
- b. ☒ AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE PER Rule 97(c) submitted herewith is [CHECK ONE]:
- i. ☐ Certification per Rule 97(e); OR
- ii. ☒ Filing Fee per Rule 17(p) .....\$180.00
- c. ☐ AFTER a Final Action OR Notice of Allowance, but BEFORE payment of the issue fee, WHEREFORE per Rule 97(d) submitted herewith is:
- i. Certification per Rule 97(e); AND
- ii. Filing fee per Rule 17(p) .....\$180.00

3. ☐ Rule 97(e) Certification; per Rule 97(e), the undersigned certifying party make the following certification statement [CHECK ONE]:

- a. ☐ That each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; OR
- b. ☐ That no item of information contained in this Information Disclosure Statement was cited in a foreign patent office in a counterpart foreign application and to the knowledge of the undersigned after making a reasonable

inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.

CERTIFYING PARTY (if different from bottom signature; omission here indicates that certification is being made by signer per signature below).

Name: \_\_\_\_\_  
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Signature: \_\_\_\_\_  
Date: \_\_\_\_\_

- 4.[x] **DEPOSIT ACCOUNT AUTHORIZATION:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

- 5.[x] **CERTIFICATE OF MAILING:** This Information Disclosure Statement is being filed pursuant to [CHECK AND COMPLETE ONE]:

- a.[x] **First Class Mail Certificate of Mailing under Rule 8:**

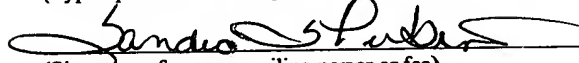
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Sandra S. Perkins

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- b.[ ] **"Express Mail" Certificate under Rule 10:**

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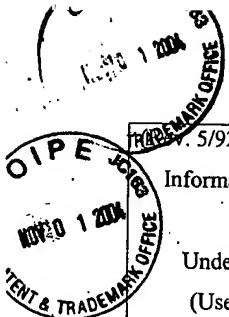
Date: October 28, 2004



(Rev. 5/92) Information Disclosure Statement List By Applicant(s) Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number: KCX-695 (16016.1)	Serial Number: 10/003,698
	Applicant: Kronzer, et al.	
	Filing Date: October 31, 2001 Confirmation No: 2529	Group Art Unit: 1774

- NOTE: If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]"
- (1) This item is cumulative, per Rule 98(c)
  - (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:  
 USSN \_\_\_\_\_, filed \_\_\_\_\_, or  
 USSN \_\_\_\_\_, filed \_\_\_\_\_;  
 Relied on under 35 U.S.C. Section 120, per Rule 98(d)
  - (3) Both reasons (1) and (2) apply
  - (4) No legible complete copy is possessed, in custody of controlled, or readily available
  - (5) Per the U.S. Patent and Trademark Office's waiver of Rule 98(a)(2)(i), the item is a U.S. patent or patent application publication, and the present application was filed after June 30, 2003.

U.S. PATENT DOCUMENTS											
EXAMINER INITIALS	PATENTEE NAME	PATENT NUMBER								ISSUE DATE	COPY NOTE
	Lawrence	1	8	5	8	6	7	3		05/03/1929	
	DeVries, et al.	4	0	2	1	5	9	1		05/03/1977	
	Reed, et al.	4	1	0	7	3	6	5		08/15/1978	
	Lewis, et al.	4	3	5	1	8	7	1		09/28/1982	
	Young, et al.	4	3	8	3	8	7	8		05/17/1983	
	Pernicano	4	4	9	6	6	1	8		01/29/1985	
	Pernicano	4	5	1	7	2	3	7		05/14/1985	
	Pernicano	4	6	6	4	7	3	5		05/12/1987	
	Kosaka	4	7	5	7	0	4	7		07/12/1988	
	Hare	4	7	7	3	9	5	3		09/27/1988	
	Harrison, et al.	4	7	7	5	6	5	7		10/04/1988	
	Kaszczuk, et al.	5	1	3	2	2	7	7		07/21/1992	
	Roenigk, et al.	5	1	4	1	9	1	5		08/25/1992	
	Yasuda, et al.	5	2	5	2	5	3	3		10/12/1993	
	Mima, et al.	5	2	6	3	7	8	1		11/23/1993	
	Imamura, et al.	5	2	6	4	2	7	9		11/23/1993	
	Nagashima	5	3	1	0	5	8	9		05/10/1994	
	Ueno, et al.	5	3	1	8	9	4	3		06/07/1994	
	Oldfield, et al.	5	3	3	2	7	1	3		07/26/1994	
	Ueno, et al.	5	3	5	6	8	5	3		10/18/1994	
	Campbell, et al.	5	3	8	7	5	7	4		02/07/1995	
	Sammis	5	4	1	9	9	4	4		05/30/1995	
	Imai, et al.	5	4	4	4	0	3	7		08/22/1995	
	Imai, et al.	5	5	7	1	7	6	6		11/05/1996	
	Gumbiowski, et al.	5	6	1	4	3	4	5		03/25/1997	
	Hoshino, et al.	5	6	4	7	9	3	5		07/15/1997	
	Hayashi, et al.	5	6	5	4	0	8	0		08/05/1997	
	Hayashi	5	7	7	6	8	5	4		07/07/1998	
	Imamura, et al.	5	8	7	6	8	3	6		03/02/1999	
	Hayashi, et al.	5	8	8	0	0	6	5		03/09/1999	
	Hirano, et al.	5	8	8	5	9	2	8		03/23/1999	
	Simpson, et al.	5	8	9	1	8	2	4		04/06/1999	
	Peskin	5	8	9	7	7	3	5		04/27/1999	
	Hirano, et al.	5	8	9	8	0	1	8		04/27/1999	
	Hare	5	9	4	8	5	8	6		09/07/1999	



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	Tada, et al.	6	0	1	7	6	3	6	01/25/2000
	Saito, et al.	6	0	4	3	1	9	4	03/28/2000
	Hare, et al.	6	0	8	3	6	5	6	07/04/2000
	Adkins	6	0	8	3	8	7	2	07/04/2000
	Hare, et al.	6	0	8	7	0	6	1	07/11/2000
	Hare, et al.	6	0	9	6	4	7	5	08/01/2000
	Niemoller, et al.	6	1	7	7	1	8	7	01/23/2001
	Hoshino, et al.	6	1	8	0	2	1	9	01/30/2001
	Nakamura	6	2	1	0	7	9	4	04/03/2001
	Nakano, et al.	6	2	1	4	1	4	9	04/10/2001
	Narita, et al.	6	2	3	2	2	6	8	05/15/2001
	Hare, et al.	6	2	4	5	7	1	0	06/12/2001
	Ueno, et al.	6	2	5	1	8	2	4	06/26/2001
	Kronzer, et al.	6	2	6	5	0	5	3	07/24/2001
	Onishi, et al.	6	2	9	0	7	9	8	09/18/2001
	Hare, et al.	6	2	9	4	3	0	7	09/25/2001
	Imai, et al.	6	3	3	5	3	0	7	01/01/2002
	Cook	6	3	4	6	3	1	3	02/12/2002
	Hare, et al.	6	3	8	3	7	1	0	05/07/2002
	Imamura, et al.	6	3	9	5	3	7	5	05/28/2002
	Hare, et al.	6	4	2	3	4	6	6	07/23/2002
	Nakano, et al.	6	4	6	5	3	9	3	10/15/2002
	Cross	6	4	8	2	2	8	5	11/19/2002
	Hare, et al.	6	5	0	9	1	3	1	01/21/2003
	Todt	6	5	6	2	7	4	0	05/13/2003
	Cole, et al.	6	5	8	2	8	0	3	06/24/2003
	Sargeant, et al.	6	5	9	3	4	0	6	07/15/2003
	Dressler	6	6	1	3	4	1	2	09/02/2003
	Suzuki, et al.	6	7	3	7	1	5	2	05/18/2004

## U.S. PATENT APPLICATION PUBLICATIONS

EXAMINER INITIALS	APPLICANT'S NAME	PUBLICATION NUMBER	PUBLICATION DATE	COPY NOTE
	Williams, et al. 2003	0 0 0 8 1 1 6	01/09/2003	
	Yamaguchi, et al. 2002	0 1 5 3 1 1 0	10/24/2002	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	TRANSLATION			COPY NOTE
				YES	NO	N/A	
	EP	0 6 5 2 1 1 4 B1	05/10/1995			X	
	EP	0 8 4 2 7 8 6 A1	05/20/1998			X	
	EP w/ English Abstract	0 8 5 0 7 8 6 B1	07/01/1998		X		
	EP	1 0 2 0 2 9 9 B1	07/19/2000			X	
	EP	1 2 1 9 4 6 0 A2	07/03/2002			X	
	EP	1 3 1 6 4 3 5 A1	06/04/2003			X	



(Rev. 5/92)

Information Disclosure Statement List By Applicant(s) Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number: KCX-695 (16016.1)	Serial Number: 10/003,698
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	EP	1	3	4	0	6	2	6	A1	09/03/2003			X	
	EP	1	3	4	4	6	5	3	A1	09/17/2003			X	
	FR	2	4	4	2	7	2	1		06/27/1980		X		
	GB	1	4	8	7	5	9	9		10/05/1977			X	
	GB	2	0	8	4	9	3	1	A	04/21/1982			X	
	GB	2	1	4	7	6	1	4	A	05/15/1985			X	
	JP w/ English Abstract	1	2	0	8	1	9	2		088/22/1989		X		
	JP w/ English Abstract	3	0	1	0	8	7	9		01/18/1991		X		
	JP w/ English Abstract	6	1	5	5	9	9	5		06/03/1994		X		
	JP w/ English Abstract	6	3	1	2	5	7	3		11/08/1994		X		
	JP w/ English Abstract	2	0	0	3	7	7	6	52	10/02/2003		X		
	WO Corrected Version 1	0	0	5	9	7	3	3	A1	10/12/2000			X	
	WO Corrected Version 2	0	0	5	9	7	3	3	A1	10/12/2000			X	
	WO	0	0	6	4	6	8	5	A1	11/02/2000			X	
	WO w/ English Abstract	0	0	7	3	5	7	0	A1	12/07/2000		X		
	WO	0	1	0	3	9	4	1	A1	01/18/2001			X	
	WO	0	1	1	2	4	4	8	A1	02/22/2001			X	
	WO	0	1	1	7	7	9	2	A1	03/15/2001			X	
	WO	0	1	2	3	6	6	4	A1	04/05/2001			X	
	WO	0	1	6	2	5	1	4	A2 & A3	08/30/2001			X	
	WO Corrected Version	0	1	6	2	5	1	4	A3	08/30/2001			X	
	WO	0	2	0	5	5	3	1	1 A2 & A3	07/18/2002			X	
	WO	0	2	3	6	3	5	3	A2 & A3	05/10/2002			X	
	WO	0	2	3	6	3	5	3	A2	05/10/2002			X	



(Rev. 5/92)  Information Disclosure Statement List  By Applicant(s)  Under 37 CFR Section 1.98(a) (1)  (Use several sheets if necessary)	Attorney Docket Number:  KCX-695 (16016.1)	Serial Number:  10/003,698
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	Corrected Version																
	WO	0	3	0	0	6	7	3	6 A1	01/23/2003				X			
	WO	8	7	0	4	3	9	3	A1	07/30/1987				X			
	WO	9	0	0	0	4	7	3	A1	01/25/1990				X			
	WO w/ English Abstract	9	1	0	6	4	3	3	A1	05/15/1991		X					
	WO	9	3	2	1	5	6	1	A1	10/28/1993				X			
	WO	9	6	1	0	4	9	1	A1	04/11/1996				X			
	WO	9	7	0	1	4	4	8	A1	01/16/1997				X			
	WO	9	7	3	3	7	6	3	A2 & A3	09/18/1997				X			
	WO	9	9	2	5	9	1	7	A1	05/27/1999				X			

\*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

EXAMINER INITIALS	OTHER DOCUMENTS Specify author (if any), Title, Pertinent Pages, Date & Place of Publication	COPY NOTE
EXAMINER	DATE CONSIDERED	
Examiner: initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.		



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Kronzer, et al.

Docket No: KCX-695 (16016.1)

Serial No: 10/003,698

Group No: 1774

Confirmation No: 2529

Examiner: Tamra Dicus

Customer No: 22827

Filed: October 31, 2001

Date: October 28, 2004

For: Heat Transfer Paper With Peelable Film And Discontinuous Coatings

**RELATED U.S. PATENT APPLICATIONS**

ASSISTANT COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, VA 22313-1450

The following commonly assigned U.S. Patent Applications are being cited to the Examiner for review and consideration. Enclosed please find copies of these applications. Once the applications have been reviewed, it is requested that the Examiner place his or her initial to the left of the identified patents on the list document to indicate that the specific patent applications have been considered.

RELATED U.S. APPLICATIONS

<u>Examiner's Initial</u>	<u>Inventor</u>	<u>Serial Number</u>	<u>Filing Date</u>	<u>Title of Application</u>
_____	Kronzer	10/749,687	12/31/2003	Matched Heat Transfer Materials And Methods Of Use Thereof
_____	Kronzer	10/750,387	12/31/2003	Heat Transfer Material
_____	Kronzer	10/894,841	07/20/2004	Heat Transfer Materials And Method Of Use Thereof